

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management
and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only

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READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - <u>9118</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>James</u> <u>E</u> <u>Pannell</u> P.O. Box, Bldg., Room No., if any <u>P O Box 1475</u> Street <u>3340 Perimeter Hill Drive</u> City <u>Nashville</u> State <u>Tennessee</u> ZIP Code + 4 <u>37211-4124</u>	4. Name, file number, and address of labor organization. Name <u>USW (Formerly PACE)</u> Labor Organization File Number <u>000-318</u> P.O. Box, Building and Room Number, if any <u>P O Box 1475</u> Street <u>3340 Perimeter Hill Drive</u> City <u>Nashville</u> State <u>Tennessee</u> ZIP Code + 4 <u>37211-4124</u>
5. Position in labor organization. <u>Administrative Vice President</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name <u>N/A</u> Trade Name, if any: <u></u> P.O. Box, Bldg., Room No., if any <u></u> Street <u></u> City <u></u> State <u></u> ZIP Code + 4 <u></u>	7.a. Nature of Interest, Transaction, or Income. <u></u> 7.b. Amount. <u></u>

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

James E. Pannell

On

8/7/05

Date

615-834-8590

Telephone Number

Name of Person Filing James Pannell	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name AllianceBernstein Investment Research & Mgmt</p> <p>Trade Name, if any: </p> <p>P.O. Box, Bldg., Room No., if any: </p> <p>Street 8208 Young Court</p> <p>City Plano</p> <p>State Texas ZIP Code + 4 75025-4321</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input checked="" type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name PACE Industry Union-Mgmt Pension Fund-PIUMPF</p> <p>Trade Name, if any: </p> <p>P.O. Box, Bldg., Room No., if any: </p> <p>Street 3320 Perimeter Hill Drive</p> <p>City Nashville</p> <p>State Tennessee ZIP Code + 4 37211-4123</p>	<p>11.a. Nature of such dealing.</p> <p>Money manager.</p> <p>11.b. Approximate dollar value of such dealing. </p> <p>12.a. Nature of interest held or income received.</p> <p>6/21/04 - Benefit Funds Trustee meeting dinner</p> <p>(Spouse also in attendance)</p> <p>12.b. Amount. \$85</p>

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

<p>13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).</p> <p>Name N/A</p> <p>Trade Name, if any: </p> <p>P.O. Box, Bldg., Room No., if any: </p> <p>Street: </p> <p>City: </p> <p>State: ZIP Code + 4: </p>	<p>14.a. Nature of payment.</p> <p>14.b. Amount of payment.</p>
<p>13.b. Is the Business an Employer <input type="checkbox"/> or Consultant <input type="checkbox"/> ?</p>	

Name of Person Filing James Pannell

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Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Bank of New York Company, Inc.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street One Wall Street

City New York

State New York ZIP Code + 4 10286-0001

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name PIUMPF (See page 2)

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Money manager.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

6/22/04 - Benefit Funds Trustee meeting dinner
(Spouse also in attendance)

12.b. Amount.

\$225

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Security Asset Capital Corporation

Trade Name, if any:

P.O. Box, Bldg., Room No., if any P O Box 9098

Street 16236 San Dieguito Road

City Rancho Santa Fe

State California ZIP Code + 4 92067-4098

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name PIUMPF (see page 2)

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Money manager.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

11/15/04 - Benefit Funds Trustee meeting dinner
(Co-hosted with Thompson Seigel listed
below. Dinner amount was \$90 total,
divided 50/50.)

(Spouse also in attendance)

12.b. Amount.

\$45

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Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Thompson, Seigel & Walmsley, Inc.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any Bank of America Corp Cntr

Street 5000 Monument Avenue

City Richmond

State Virginia ZIP Code + 4 23230-3600

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name PIUMPF (see page 2)

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Money manager

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

11/15/04 - Benefit Funds Trustee meeting dinner
(Co-hosted with Security Asset listed
above. Dinner amount was \$90 total,
divided 50/50.)

(Spouse also in attendance)

12.b. Amount.

\$45

Name of Person Filing James Pannell	File Number U-
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Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

<p>8. Name and address of Business (including trade name, if any).</p> <p>Name Bank of America Corp.</p> <p>Trade Name, if any: </p> <p>P.O. Box, Bldg., Room No., if any Bank of America Corp. Cntr</p> <p>Street 100 N. Tryon Street</p> <p>City Charlotte</p> <p>State North Carolina ZIP Code + 4 28255-0001</p>	<p>9. Business deals with:</p> <p><input type="checkbox"/> a. Labor Organization</p> <p><input checked="" type="checkbox"/> b. Trust</p> <p><input type="checkbox"/> c. Employer</p>
<p>10. If 9.b. or 9.c. is checked give trust or employer's name.</p> <p>Name PIUMPF (see page 2)</p> <p>Trade Name, if any: </p> <p>P.O. Box, Bldg., Room No., if any </p> <p>Street </p> <p>City </p> <p>State ZIP Code + 4 </p>	<p>11.a. Nature of such dealing.</p> <p>Money manager.</p>
	<p>11.b. Approximate dollar value of such dealing.</p> <p></p>
	<p>12.a. Nature of interest held or income received.</p> <p>11/16/04 - Benefit Funds Trustee meeting dinner (co-hosted with MassMutual listed below. Dinner amount was \$100 total, divided 50/50.)</p> <p>(Spouse also in attendance)</p>
	<p>12.b. Amount.</p> <p align="right">\$50</p>

Name of Person Filing James Pannell

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Part B Continuation Page

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8. Name and address of Business (including trade name, if any).

Name MassMutual Financial Group

Trade Name, if any: MML Investors Services, Inc.

P.O. Box, Bldg., Room No., if any

Street 1295 State Street

City Springfield

State Massachusetts

ZIP Code + 4 01111-0001

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name PIUMPF (see page 2)

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

11.a. Nature of such dealing.

Money manager.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

11/16/04 - Benefit Funds Trustee meeting dinner
(Co-hosted with Bank of America listed
above. Dinner amount was \$100 total,
divided 50/50.)

(Spouse also in attendance)

12.b. Amount.

\$50